

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/812,703
Filing Date	03/19/2001
First Named Inventor	MOORE, Terrance
Art Unit	3627
Examiner Name	FRENEL, Vanel
Attorney Docket Number	044258.000002

Sheet 1 of 4

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/VF/		US- 20020111826		Potter, et al.	
/VF/		US- 20020120468		William Atallah	
/VF/		US- 6,000,828		Larry Leet	
/VF/		US- 6,370,511		Dang	
/VF/		US- 5,557,514		Seare, et al.	
/VF/		US- 5,706,441		Lockwood	
/VF/		US- 5,724,379		Perkins, et al.	
/VF/		US- 5,835,897		Dang	
/VF/		US- 5,918,208		Javitt	
/VF/		US- 5,953,704		McIlroy, et al.	
/VF/		US- 6,195,612		Pack-Harris	
/VF/		US- 6,223,164		Sears et al.	
/VF/		US- 20010037216		Robert S. Oscar, et al.	
/VF/		US- 20010041990		Jonathan C. Javitt	
/VF/		US- 5,784,635		McCallum	
/VF/		US- 6,026,364		Whitworth	
/VF/		US- 6,151,581		Kraftson, et al.	
/VF/		US- 2002152097		Javors	
/VF/		US- 5,924,073		Tyuluman, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner
Signature

/Vanel Frenel/

Date
Considered

04/24/2008

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/812,703
Filing Date	03/19/2001
First Named Inventor	MOORE, Terrance
Art Unit	3627
Examiner Name	FRENEL, Vanel
Attorney Docket Number	044258.000002

Sheet **2** of **4****U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/VF/		US- 6,381,576		Edward Howard Gilbert	
/VF/		US- 6,012,035		Freeman, et al.	
/VF/		US- 6,014,631		Teagarden, et al.	
/VF/		US- 6,385,589		Trusheim, et al.	
/VF/		US- 5,722,418		L. William Bro	
/VF/		US- 6,112,182		Akers, et al.	
/VF/		US- 6,029,138		Khorasani, et al.	
/VF/		US- 5,365,425		Torma, et al.	
/VF/		US- 2002/0138303		Enos, et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner
Signature

/Vanel Frenel/

Date
Considered

04/24/2008

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known			
		Application Number	09/812,703		
		Filing Date	03/19/2001		
		First Named Inventor	MOORE, Terrance		
		Art Unit	3627		
		Examiner Name	FRENEL, Vanel		
Sheet	3	of	4	Attorney Docket Number	044258.00002

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/VF/		HIGGING, M., Securing the perimeter; Health Managment Technology; Atlanta; Dec 2000; Volume 21; Issue 12 Start Page 8-12	
/VF/		LANDON et al., A conceptual Model of the Effects of Heath Care Organizations on the Quality of Medical Care, May 1998, JAMA, Vol. 270, No. 17, Pgs 1377-1382	
/VF/		RICE, Physicians Payment Policies, 1997, Annual Review Public Health, Pgs. 549-565	
/VF/		GLASS et al., Incentive-Based Physician Compensation Models, July 1999, Journal of Ambulatory Care Management, Pgs. 36-40	
/VF/		SEGAL et al., Influencing Physicians Prescribing, October 1999, Pharmacy Practice Management Quarterly, Pgs. 30-50	
/VF/		CHERNEY, Choosing as Advantageous Risk-Sharing Arrangement, March 1999, Healthcare Financial Managment, Pgs. 35-37	
/VF/		ROSENSTEIN et al., Changing Physicians Behavior is Tool to Reduce Health Care Costs, September 1991, Healthcare Strategic Managment, Vol. 9, Iss. 9, Pgs. 14-16	
/VF/		SNAIL, The Effects of Hospital Contracting for Physicians Services on Hospital Performance, Spring 2000, University of California, Berkley, Pgs. 1-182	
/VF/		YOUNG et al., Aligning Physician Financial Incentives In a Mixed-Payment Environment, Healthcare Financial Management, Pgs. 46-55 (October 2000)	
/VF/		Davis et al., New Compensation Model Improves Physician Productivity, July 1999, Healthcare Finiancial Managment, Pgs. 46-49	

Examiner Signature	/Vanel Frene/	Date Considered	04/24/2008
--------------------	---------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	09/812,703
		Filing Date	03/19/2001
		First Named Inventor	MOORE, Terrance
		Art Unit	3627
		Examiner Name	FRENEL, Vanel
Sheet 4	of 4	Attorney Docket Number	044258.000002

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/VF/		SHULKIN, Promoting Cost Effective Physician Behavior, July 1993, Heathcare Financial Management, Vol.47, No. 7, Pgs. 48-54	
/VF/		SCHWARTZ, Creating a Benchmark Database, January 1998, Health Managment Technology, Pgs. 65-66	
/VF/		File History of Lewis Application No. 09/812,704	
/VF/		File History of Lewis Application No. 11/933,075	
/VF/		File History of Moore Application No. 11/924,751	

Examiner Signature	/Vanel Frenel/	Date Considered	04/24/2008
--------------------	----------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.